

State of Vermont
Department of Housing and Community Development
Deane C. Davis Building – 6th Floor [phone] 802-828-3211
One National Life Drive
Montpelier, VT 05620-0501

*Agency of Commerce and
Community Development*

To: All Interested Parties

From: Ann Karlene Kroll, Director of Grants Management

Date: September 4, 2015 

RE: Third Amendment to CDBG-Disaster Recovery 2 Action Plan

DHCD is requesting the following changes to our current CDBG-DR 2 Action Plan – Distribution of Funds. The original scope of activities remains the same. The request is based on adjustments in project costs and timelines.

Please see supporting documentation, including a listing of grants that have been awarded and applications under current consideration for the September CD Board Meeting.

CDBG-DR 2 Round 1

1. The release of an additional \$448,831 to be added to the remaining \$233,639 as shown from the Second Amendment totaling \$682,470 bringing the total to \$13,680,831.

Enhancement requests:

- a. Two Rivers-Ottawaquechee Regional Commission – Buyout Program \$300,000- for additional program delivery costs and environmental review costs
- b. Central Vermont Community Action Council (CAPSTONE, name change) Business Assistance Program \$45,000 - for additional program delivery costs and environmental review costs
- c. Town of Rochester – Bean’s Bridge Road Rehab project \$30,570 – for increased project construction costs

New Award Request:

Town of Athens – Brookline Road/Culvert project \$306,900



2. Additionally, we are requesting an extension for completion dates for the following projects (please see attached OMB Control Number 2506-0206 Expenditure Deadline Extension Requests):
 - a. DR-IG-2012-Brandon-00068 - Town of Brandon – Town Office 12/31/2016
 - b. DR-IG-2012-Rochester-00050 – Bean’s Bridge Road Rehab 12/31/2016
 - c. DR-IG-2014-RedClover-00005 – Brattleboro Housing Authority to 12/31/2016
 - d. DR-IG-2012-TRORC-00036 – Flood-Damaged Property Buyouts to 6/30/2017

CDBG-DR 2 Round 2

1. DHCD is also requesting the release the remaining funds for Round 2, above and beyond the \$448,831 cited above under Round 1 #1. This would be in the amount of \$4,251,169. At this juncture, we have received applications, they have been approved for funding by the CD Board, awards have been made, many have completed their environmental reviews and the projects are ready to get underway. In addition, we have applications, in the queue for our September Board meeting, and others in discussion preparing for other fall Board meetings.
2. Of the \$2,000,000 allocated to State Direct – Two Rivers Ottauquechee Regional Commission Flood-Damaged Property Buyouts has been determined to be in excess of actual costs and will be moved to the Competitive Grants: Community Infrastructure and Contingency. With these changes, we have a potential remaining funding balance of \$1.4M.

We look forward to HUD’s review and approval of our Amendment request, Extension requests of the Projects, and Release of the balance of funds with a new Grant Agreement and new two year period of performance.

cc: Jennifer Hollar, Deputy Commissioner
Josh Hanford, CD Director
Tamera Pariseau, Disaster Recovery Grants Management Specialist
Maria Davies, Disaster Recovery Grants Management Specialist
Robert D. Shumeyko, HUD Regional Director
Samantha Graves, HUD CPD Representative

Total CDBG-DR2 Round 1 Partial Amendment Grants - Awarded

Municipality/Lead Grantee	Application Identifier	Project Type	Program Area	Project Title	Action Plan	CD Specialist	Award Date	CDBG-DR 2 Award Amount
Central Vermont Community Land Trust	DR-IG-2012-CVCLT-00011	Competitive	Housing	Ladd Hall Housing Project	1	Carl, Tamera	01/25/2013	\$1,000,000
Housing Total								\$1,000,000
Town of Athens	DR-IG-2012-Athens-00064	Competitive	Public Facility	Brookline Road/Walker Road Culverts	1	Nathan, Tamera	2/19/2014	\$306,900
Town of Brandon	DR-IG-2012-Brandon-00068	Competitive	Public Facility	Town of Brandon-Town Office	1	Claire, Tamera	12/11/2014	\$500,000
Town of Rochester	DR-IG-2012-Rochester-00050	Competitive	Public Facility	Bean's Bridge Road Rehab	1	Nathan, Tamera	05/09/2014	\$189,339
Town of Warren	DR-IG-2012-Warren-00035	Competitive	Public Facility	Plunkton Road Culvert	1	Carl, Tamera	04/10/2014	\$186,792
Town of Whitingham	DR-IG-2012-Whitingham-00045	Competitive	Public Facility	Whitingham Bridge #47 Deck Replacement	1	Nathan, Tamera	07/10/2014	\$16,000
Public Facility Total								\$1,199,031
BDCC and SRDC	DR-IG-2012-BDCCSRDC-00060	State Direct	ED	SE-VT Economic Dev't Disaster Relief	1	Ann, Tamera		\$1,500,000
Central Vermont Community Action Council	DR-IG-2012-CVCAC-00065	State Direct	ED	CDBG-DR #2 for Central Vermont	1	Ann, Tamera		\$1,545,000
ED Total								\$3,045,000
Red Clover Commons Limited Partnership	DR-IG-2014-RedClover-00005	State Direct	Housing	Brattleboro	1	Ann, Tamera		\$5,540,200
Two Rivers-Ottawaquechee Regional Commission	DR-IG-2012-TRORC-00036	State Direct	Housing	Flood-Damaged Property Buyouts	1	Ann, Tamera		\$2,300,000
Housing Total								\$7,840,200
Grant Total CDBG-DR2 Round 1								\$13,084,231
General Admin								\$596,600
Total CDBG-DR2 Round 1								\$13,680,831

Total CDBG-DR2 Round 2 Partial Amendment Grants - Awarded

Municipality/Lead Grantee	Application Identifier	Project Type	Program Area	Project Title	Action Plan	CD Specialist	Award Date	CDBG-DR 2 Award Amount
Town of Readsboro	DR-IG-2012-Readsboro-00042	Competitive	Public Facility	Riverbank Stabilization	2	Nathan, Tamera	9/11/2014	\$348,720
Town of Springfield	DR-IG-2012-Springfield-00051	Competitive	Public Facility	Meadow Drive Stormwater Improvements	2	Nathan, Tamera	07/10/2014	\$388,000
Town of Waitsfield	DR-IG-2012-Waitsfield-00069	Competitive	Public Facility	Waitsfield Pocket Park	2	Carl, Tamera	4/14/2015	\$179,976
Town of West Windsor	DR-IG-2012-West Windsor-00034	Competitive	Public Facility	Brownsville Sewer Construction	2	Nathan, Tamera	03/12/2014	\$891,547
Public Facility Total								\$1,808,243
Grant Total CDBG-DR2 Round 2								\$1,808,243
General Admin								\$300,000
Total CDBG-DR2 Round 2								\$2,108,243

Total CDBG-DR2 Round 2 Partial Amendment Applications under review

Municipality/Lead Grantee	Application Identifier	Project Type	Program Area	Project Title	Action Plan	CD Specialist	Award Date	CDBG-DR 2 Request Amount
Town of Northfield	DR-IG-2012-Northfield-00073	Competitive	Public Facility	Water Street River Park	2	Carl, Tamera		\$295,500
Town of Northfield	DR-IG-2012-Northfield-00076	Competitive	Public Facility	Fairgrounds Bridge Local Match	2	Carl, Tamera		\$53,742
Town of Stockbridge	DR-IG-2012-Stockbridge-00074	Competitive	Public Facility	Taggart Hill Road South Relocation	2	Nathan, Tamera		\$326,900
Public Facility Total								\$676,142
Grant Total CDBG-DR2 Round 2 under review								\$676,142

Total Allocation	\$17,932,000
General Administration	\$896,600
Grand Total CDBG-DR 2 Round 1 and 2	\$14,892,474
Current Remaining Balance	\$2,142,926
Grant Total CDBG-DR2 Round 2 under review	\$676,142
Potential Remaining Balance	\$1,466,784

Third Amendment to Partial Action Plan CDBG-Disaster Recovery 2 Distribution of Funds - Round 1

Grants and Administration	Amount	Proposed Percentage Allocation	Targeted Counties Washington, Windham and Windsor	All other Counties	Low- Moderate Income
Competitive Grants: Community Infrastructure	\$1,199,031	58%-42%	\$699,031	\$500,000	\$202,792
Competitive Grants: Housing Recovery - Central Vermont Community Land Trust (CVCLT) South Main Street Apartments (formerly Ladd Hall)	\$1,000,000	100%	\$1,000,000		\$1,000,000
Total Competitive Grants	\$2,199,031		\$1,699,031	\$500,000	\$1,202,792
State Direct Grants: Residential and Commercial HMGP buyouts (TRORC)	\$2,300,000	74%-26%	\$1,610,000	\$690,000	\$1,380,000
State Direct Grants: Brattleboro Housing Authority (BHA) - Red Clover Commons	\$5,540,200	100%	\$5,540,200		\$5,540,200
State Direct Grants: Economic Recovery (BDCC and CVCAC)	\$3,045,000	80% - 20%	\$2,445,000	\$600,000	\$2,130,000
Total State Direct Grants	\$10,885,200		\$9,595,200	\$1,290,000	\$9,050,200
Total Grant Allocation	\$13,084,231	86%-14%	\$11,294,231	\$1,790,000	\$10,252,992
State Administration	\$596,600				
Total Allocation	\$13,680,831				

Third Amendment to Remaining Action Plan CDBG-Disaster Recovery 2 Distribution of Funds - Round 2

Grants and Administration	Amount	Proposed Percentage Allocation	Targeted Counties Washington, Windham and Windsor	All other Counties	Low- Moderate Income
Competitive Grants: Community Infrastructure	\$3,701,169	73%-27%	\$2,701,169	\$1,000,000	\$597,208
Total Competitive Grants	\$3,701,169		\$2,701,169	\$1,000,000	\$597,208
Contingency	\$250,000	80%-20%	\$200,000	\$50,000	
Total Grant Allocation	\$3,951,169	74%-26%	\$2,901,169	\$1,050,000	\$597,208
State Administration	\$300,000				
Total Allocation	\$4,251,169				

Second Amendment to Partial Action Plan CDBG-Disaster Recovery 2 Distribution of Funds - Round 1

Grants and Administration	Amount	Proposed Percentage Allocation	Targeted Counties Washington, Windham and Windsor	All other Counties	Low- Moderate Income
Competitive Grants: Community Infrastructure	\$1,095,200	54%-46%	\$595,200	\$500,000	\$202,792
Competitive Grants: Housing Recovery - Central Vermont Community Land Trust (CVCLT) South Main Street Apartments (formerly Ladd Hall)	\$1,000,000	100%	\$1,000,000		\$1,000,000
Total Competitive Grants	\$2,095,200		\$1,595,200	\$500,000	\$1,202,792
State Direct Grants: Residential and Commercial HMGP buyouts (TRORC)	\$2,000,000	70%-30%	\$1,400,000	\$600,000	\$750,000
State Direct Grants: Brattleboro Housing Authority (BHA) - Red Clover Commons	\$5,540,200	100%	\$5,540,200		\$5,540,200
State Direct Grants: Economic Recovery (BDCC and CVCAC)	\$3,000,000	80% - 20%	\$2,400,000	\$600,000	\$1,900,000
Total State Direct Grants	\$10,540,200		\$9,340,200	\$1,200,000	\$8,190,200
Total Grant Allocation	\$12,635,400	91%-9%	\$10,935,400	\$1,700,000	\$9,392,992
State Administration	\$596,600				
Total Allocation	\$13,232,000				

Second Amendment to Remaining Action Plan CDBG-Disaster Recovery 2 Distribution of Funds - Round 2

Grants and Administration	Amount	Proposed Percentage Allocation	Targeted Counties Washington, Windham and Windsor	All other Counties	Low- Moderate Income
Competitive Grants: Community Infrastructure	\$2,400,000	85%-15%	\$2,051,280	\$348,720	\$555,120
Total Competitive Grants	\$2,400,000		\$2,051,280	\$348,720	\$555,120
State Direct Grants: Residential and Commercial HMGP buyouts (TRORC)	\$2,000,000	85%-15%	\$1,700,000	\$300,000	\$500,000
Total State Direct Grants	\$2,000,000		\$1,700,000	\$300,000	\$500,000
Total Grant Allocation	\$4,400,000	70%-30%	\$3,751,280	\$648,720	\$1,055,120
State Administration	\$300,000				
Total Allocation	\$4,700,000				

First Amendment to Partial Action Plan CDBG-Disaster Recovery 2 Distribution of Funds - Round 1

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Grants and Administration	Amount	Proposed Percentage Allocation	Targeted Counties Washington, Windham and Windsor	All other Counties	Low- Moderate Income
Competitive Grants: Community Infrastructure	\$1,635,400	100%	\$1,635,400		\$1,000,000
Competitive Grants: Housing Recovery - Central Vermont Community Land Trust (CVCLT) South Main Street Apartments (formerly Ladd Hall)	\$1,000,000	100%	\$1,000,000		\$1,000,000
Total Competitive Grants	\$2,635,400		\$2,635,400	\$0	\$2,000,000
State Direct Grants: Residential and Commercial HMGP buyouts (TRORC)	\$2,000,000	70%-30%	\$1,400,000	\$600,000	\$500,000
State Direct Grants: Brattleboro Housing Authority (BHA)	\$5,000,000	100%	\$5,000,000		\$5,000,000
State Direct Grants: Economic Recovery (BDCC and CVCAC)	\$3,000,000	80% - 20%	\$2,400,000	\$600,000	\$2,000,000
Total State Direct Grants	\$10,000,000		\$8,800,000	\$1,200,000	\$7,500,000
Total Grant Allocation	\$12,635,400	85%-15%	\$11,435,400	\$1,200,000	\$9,500,000
State Administration	\$596,600				
Total Allocation	\$13,232,000				

First Amendment to Remaining Action Plan CDBG-Disaster Recovery 2 Distribution of Funds - Round 2

Grants and Administration	Amount	Proposed Percentage Allocation	Targeted Counties Washington, Windham and Windsor	All other Counties	Low- Moderate Income
Competitive Grants: Community Infrastructure	\$2,400,000	68.5%-31.5%	\$1,644,690	\$1,055,310	\$1,000,000
Total Competitive Grants	\$2,400,000		\$1,644,690	\$1,055,310	\$1,000,000
State Direct Grants: Residential and Commercial HMGP buyouts (TRORC)	\$2,000,000	85%-15%	\$1,700,000	\$300,000	\$500,000
Total State Direct Grants	\$2,000,000		\$1,700,000	\$300,000	\$500,000
Total Grant Allocation	\$4,400,000	76%-24%	\$3,344,690	\$1,355,310	\$1,500,000
State Administration	\$300,000				
Total Allocation	\$4,700,000				

Third Amendment - CDBG-Disaster Recovery 2 Distribution of Funds

Grants and Administration	Amount	Proposed Percentage Allocation	Targeted Counties Washington, Windham and Windsor	All other Counties	Low- Moderate Income
Competitive Grants: Community Infrastructure, etc	\$4,900,200	69%-31%	\$3,400,200	\$1,500,000	\$800,000
Competitive Grants: Housing Recovery - Central Vermont Community Land Trust (CVCLT) South Main Street Apartments (formerly Ladd Hall)	\$1,000,000	100%	\$1,000,000		\$1,000,000
Total Competitive Grants	\$5,900,200		\$4,400,200	\$1,500,000	\$1,800,000
State Direct Grants: Residential and Commercial HMGP buyouts (TRORC)	\$2,300,000	74%-26%	\$1,610,000	\$690,000	\$1,380,000
State Direct Grants: Brattleboro Housing Authority (BHA) - Red Clover Commons	\$5,540,200	100%	\$5,540,200		\$5,540,200
State Direct Grants: Economic Recovery (BDCC and CVCAC)	\$3,045,000	80% - 20%	\$2,445,000	\$600,000	\$2,130,000
Total State Direct Grants	\$10,885,200		\$9,595,200	\$1,290,000	\$9,050,200
Contingency	\$250,000		\$200,000	\$50,000	
Total Grant Allocation	\$17,035,400	85%-15%	\$14,195,400	\$2,840,000	\$10,850,200
State Administration	\$896,600				
Total Allocation	\$17,932,000				

Second Amendment - CDBG-Disaster Recovery 2 Distribution of Funds

Grants and Administration	Amount	Proposed Percentage Allocation	Targeted Counties Washington, Windham and Windsor	All other Counties	Low- Moderate Income
Competitive Grants: Community Infrastructure, etc	\$3,495,200	76%-24%	\$2,646,480	\$848,720	\$1,113,245
Competitive Grants: Housing Recovery - Central Vermont Community Land Trust (CVCLT) South Main Street Apartments (formerly Ladd Hall)	\$1,000,000	100%	\$1,000,000		\$1,000,000
Total Competitive Grants	\$4,495,200		\$3,646,480	\$848,720	\$2,113,245
State Direct Grants: Residential and Commercial HMGP buyouts (TRORC)	\$4,000,000	78%-22%	\$3,100,000	\$900,000	\$1,250,000
State Direct Grants: Brattleboro Housing Authority (BHA) - Red Clover Commons	\$5,540,200	100%	\$5,540,200		\$5,540,200
State Direct Grants: Economic Recovery (BDCC and CVCAC)	\$3,000,000	80% - 20%	\$2,400,000	\$600,000	\$1,900,000
Total State Direct Grants	\$12,540,200		\$11,040,200	\$1,500,000	\$8,690,200
Total Grant Allocation	\$17,035,400	85%-15%	\$14,686,680	\$2,348,720	\$10,803,445
State Administration	\$896,600				
Total Allocation	\$17,932,000				

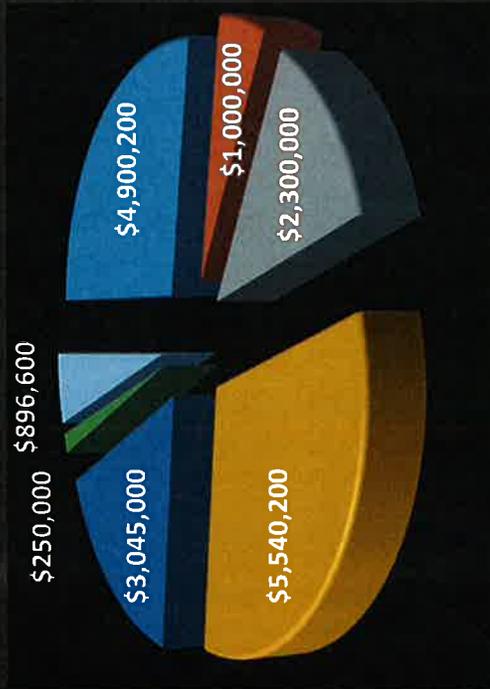
First Amendment - CDBG-Disaster Recovery 2 Distribution of Funds

Grants and Administration	Amount	Proposed Percentage Allocation	Targeted Counties Washington, Windham and Windsor	All other Counties	Low- Moderate Income
Competitive Grants: Community Infrastructure, etc	\$4,035,400	85%	\$2,980,090	\$1,055,310	\$2,000,000
Competitive Grants: Housing Recovery - Central Vermont Community Land Trust (CVCLT) South Main Street Apartments (formerly Ladd Hall)	\$1,000,000	100%	\$1,000,000		\$1,000,000
Total Competitive Grants	\$5,035,400		\$3,980,090	\$1,055,310	\$3,000,000
State Direct Grants: Residential and Commercial HMGP buyouts (TRORC)	\$4,000,000	70%-30%	\$2,800,000	\$1,200,000	\$1,000,000
State Direct Grants: Brattleboro Housing Authority (BHA)	\$5,000,000	100%	\$5,000,000		\$5,000,000
State Direct Grants: Economic Recovery (BDCC and CVCAC)	\$3,000,000	80% - 20%	\$2,400,000	\$300,000	\$2,000,000
Total State Direct Grants	\$12,000,000		\$10,200,000	\$1,500,000	\$8,000,000
Total Grant Allocation	\$17,035,400	85%-15%	\$14,180,090	\$2,555,310	\$11,000,000
State Administration	\$896,600				
Total Allocation	\$17,932,000				

CDBG-DR II Distribution of Funds

Community Infrastructure		\$4,900,200
Housing Recovery	(CVCLT - South Main Street Ap	\$1,000,000
Residential and Commercial HMGP buyouts (TRORC)		\$2,300,000
Brattleboro Housing Authority (Red Clover)		\$5,540,200
Economic Recovery (BDCC and CVCAC)		\$3,045,000
Contingency		\$250,000
State Administration		\$896,600
		\$17,932,000

CDBG-Disaster Recovery 2 Distribution of Funds



- Community Infrastructure
- Housing Recovery (CVCLT - South Main Street Apartments)
- Residential and Commercial HMGP buyouts (TRORC)
- Brattleboro Housing Authority (Red Clover)
- Economic Recovery (BDCC and CVCAC)
- Contingency
- State Administration

CDBG-DR Expenditure Deadline Extension Request Template (P.L. 113-2 Grantees Only) [OMB CONTROL NUMBER: 2506-0206]

In order to request an extension of the 24-month expenditure deadline on CDBG-DR funds from HUD, grantees must complete the following template for each grantee program or project for which an extension is requested. *

OMB authorized HUD to provide CDBG-DR grantees with expenditure deadline extensions for specific activity types. Only activities within the following activity category types are eligible to request an extension of the 24-month expenditure deadline: housing; economic revitalization; public facilities and improvements; and administration.

* Note: A local program or project may be reflected in DRGR as more than one activity. An example would be a single family rehabilitation program that will serve both Urgent Need and Low/Moderate Income households. In these instances, both DRGR activities should be submitted using a single template.

1. GRANT INFORMATION

a. Grant Number	B-13-DS-50-0001
b. Grantee Name	State of Vermont
c. Requesting Agency	Agency of Commerce and Community Development
d. CDBG-DR Obligation Date	12/10/2013
e. Expenditure Deadline Date (24 months following Obligation Date)	10-Dec-15

2. IDENTIFY EXTENSION REQUEST

a. DRGR Project #	
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b. Information on grantee program or project for which a waiver is requested

Note: Please copy this information directly from DRGR for each DRGR activity related to the grantee program or project for which a waiver is requested.

Grantee Project or Program	DRGR Grantee Activity Number	DRGR Activity Title	Responsible Organization	DRGR National Objective	DRGR Activity Description	DRGR Budgeted Amount
Activity #1	Town of Brandon RD-1 IG-00068	Brandon Town Office Rehab	Town of Brandon	Urgent Need	The Town will use CDBG-DR2 funds, combined with other funds, to rehab and repair the building and relocate the town offices back into this historic building.	\$500,000
TOTAL AMOUNT						\$500,000

3. ELIGIBILITY

Description of program or project for which an extension is being requested and the eligible activity category

Project Description is included above under DRGR Activity Description. Activity #1 - Eligible activity category is Public facilities and improvements;

4. JUSTIFICATION

a. Explain the reason an extension is needed. Provide an explanation for why an extension is being requested, including all relevant and compelling statutory, regulatory, policy, or operational challenges, and how receiving an extension will promote a more effective, efficient recovery effort.

Activity #1 - due to delays in completing the comprehensive environmental review and encompassing the historic building design needs.

b. Reduction in the likelihood of waste, fraud, and abuse. If applicable, describe how the provision of an extension would reduce the likelihood of waste, fraud, and abuse.

Not receiving the extension would not permit the project to be completed, thus wasting the resources and efforts already expended into the project for the permitting, engineering and design work that has already begun in preparation for the construction. In addition, the Town has limited resources and is currently leasing temporary space to attempt to keep the municipal government as fully functional as possible, ultimately this is a waste of scarce municipal resources.

<p>c. Community Stakeholders <i>Identify all community stakeholders (including state or local entities, subrecipients, nonprofits, and civic organizations), their role in program or project implementation, and the impact, if any, an extension would have on these stakeholders.</i></p>	<p>The Town of Brandon residents; the town employees and Municipal Selectboard - currently the municipal office has been leasing temporary space to keep the municipal government as fully functional as possible.</p>
<p>5. IMPACT</p> <p>a. Revised expenditure deadline for program/project completion <i>Provide the revised DRGR activity end date for each activity subject to an extension.</i></p>	<p>12/31/2016</p>
<p>b. Proposed timeline for revised expenditure deadline (See "Projected Qtrly Expenditures" sheet) <i>Provide quarterly expenditure projections for the program/project for which the extension is requested.</i></p>	<p>Complete Sheet2: Projected Qtrly Expenditures.</p>
<p>c. Risk associated with not receiving an extension <i>Describe the risks associated with NOT receiving the requested extension, such as the estimated percentage of funds which would be at risk of recapture or specific recovery needs that would not be met if the particular program or project cannot be completed or undertaken.</i></p>	<p>Not receiving the extension would not permit the project to be completed, thus wasting the resources and efforts already expended into the project for the permitting, engineering and design work that has already begun in preparation for the construction. In addition, the Town has limited resources and is currently leasing temporary space to attempt to keep the municipal government as fully functional as possible, ultimately this is a waste of scarce municipal resources. Not extending this project would put \$500,000, or 4% of the initial release of funds at risk of recapture.</p>
<p>6. INTERNAL CONTROLS</p> <p>a. Monitoring process and internal controls to compensate for the extended deadline <i>Describe the monitoring process and internal controls that the grantee and any subrecipients will implement to ensure compliance with the revised expenditure deadline.</i></p>	<p>Agency will have already conducted onsite technical assistance meeting to fully understand the status of the project, provide the municipal officials with appropriate guidance to ensure fully compliant with regards to procurement, Section 3, bidding requirements, Labor Standards, the environmental review conditions. Disaster Recovery Grants Management staff will continue with ongoing technical assistance through the review of the expenditure documentation when provided and conducting onsite monitoring visits.</p>

5b. Proposed timeline for revised expenditure deadline

B-13-DS-50-0001 Brandon Town Office Rehab

Grantees should start with the quarter that reflects the current DRGR start date of activity. Accordingly, after the template column headers to reflect activity-specific information in DRGR (showing the proposed timeline). For example, if the HUD obligation date/activity start date took place in Quarter 4 of 2015, the first column would be labeled as Q4 2015.

Projected Incremental Quarterly Expenditures		Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	Q2 2016	Q3 2016	Q4 2016
CDRG-DR Program or Project	Budget Amount							\$ 25,000.00	\$ 100,000.00	\$ 125,000.00	\$ 125,000.00	\$ 120,000.00	\$ 5,000.00
Activity #1	\$ 500,000.00							\$ 25,000.00	\$ 100,000.00	\$ 125,000.00	\$ 125,000.00	\$ 120,000.00	\$ 5,000.00
Activity #2													
TOTAL	\$ 500,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,000.00	\$ 100,000.00	\$ 125,000.00	\$ 125,000.00	\$ 120,000.00	\$ 5,000.00

EXAMPLE:

CDBG-DR Expenditure Deadline Extension Request Template (P.L. 113-2 Grantees Only) [OMB CONTROL NUMBER: 2506-0206]

In order to request an extension of the 24-month expenditure deadline on CDBG-DR funds from HUD, grantees must complete the following template for each grantee program or project for which an extension is requested.* OMB authorized HUD to provide CDBG-DR grantees with expenditure deadline extensions for specific activity types. Only activities within the following activity category types are eligible to request an extension of the 24-month expenditure deadline: housing, economic revitalization, public facilities and improvements, and administration.

*Note: A local program or project may be reflected in DRGR as more than one activity. An example would be a single family rehabilitation program that will be serve both Urgent Need and Low/Moderate income households. In these instances, both DRGR activities should be submitted using a single template.

1. GRANT INFORMATION

a. Grant Number	B-13-DS-50-0001
b. Grantee Name	State of Vermont
c. Requesting Agency	Agency of Commerce and Community Development
d. CDBG-DR Obligation Date	12/10/2013
e. Expenditure Deadline Date (24 months following Obligation Date)	10-Dec-15

2. IDENTIFY EXTENSION REQUEST

a. DRGR Project #

b. Information on grantee program or project for which a waiver is requested

Note: Please copy this information directly from DRGR for each DRGR activity related to the grantee program or project for which a waiver is requested.

Grantee Project or Program	DRGR Grantee Activity Number	DRGR Activity Title	Responsible Organization	DRGR National Objective	DRGR Activity Description	DRGR Budgeted Amount
Activity #1	Town of Rochester RD-1 IG 00050	Bean's Bridge Road Rehab	Town of Rochester	Urgent Need	This is a Class 3 road that has been subject to repeated flooding and which was severely impacted by Tropical Storm Irene. The Town will use CDBG-DR2 funds for an innovative concept practiced in the western part of the country, but never implemented or tested in Vermont - lowering and rebuilding the road to allow floodwaters to pass. Erosion will be mitigated along a bend in the White River, as well as mitigating damage to the roadway, a farmer's field, and potentially a nearby residence.	\$158,769
TOTAL AMOUNT						\$158,769

3. ELIGIBILITY

Description of program or project for which an extension is being requested and the eligible activity category

Project Description is included above under DRGR Activity Description. Activity #1 - Eligible activity category is Public facilities and improvements;

4. JUSTIFICATION

CDBG-DR Expenditure Deadline Extension Request Template (P.L. 113-2 Grantees Only) [OMB CONTROL NUMBER: 2506-0206]

<p>a. Explain the reason an extension is needed <i>Provide an explanation for why an extension is being requested, including all relevant and compelling statutory, regulatory, policy, or operational challenges, and how receiving an extension will promote a more effective, efficient recovery effort.</i></p>	<p>Activity #1 - due to delays in securing all the necessary permits that will not allow construction to commence until next year, most critical is the limited window of opportunity to work in the river that can only be done between June 15 and October 15.</p>
<p>b. Reduction in the likelihood of of waste, fraud, and abuse <i>If applicable, describe how the provision of an extension would reduce the likelihood of waste, fraud, and abuse.</i></p>	<p>Not receiving the extension would not permit the project to be completed, thus wasting the resources and efforts already expended into the project for the permitting, engineering and design work that has already begun in preparation for the construction.</p>
<p>c. Community Stakeholders <i>Identify all community stakeholders (including state or local entities, subrecipients, nonprofits, and civic organizations), their role in program or project implementation, and the impact, if any, an extension would have on these stakeholders.</i></p>	<p>The Town of Rochester residents and those nearby residents that traverse the Bean's Bridge Road on a daily basis by not completing this project has the potential of continuing to keep them in harm's way and being stranded from emergency services if another event occurs such as Tropical Storm Irene.</p>
<p>5. IMPACT</p>	
<p>a. Revised expenditure deadline for program/project completion <i>Provide the revised DRGR activity end date for each activity subject to an extension.</i></p>	<p>12/31/2016</p>
<p>b. Proposed timeline for revised expenditure deadline (See "Projected Qtrly Expenditures" sheet) <i>Provide quarterly expenditure projections for the program/project for which the extension is requested.</i></p>	<p>Complete Sheet2: Projected Qtrly Expenditures.</p>
<p>c. Risk associated with not receiving an extension <i>Describe the risks associated with NOT receiving the requested extension, such as the estimated percentage of funds which would be at risk of recapture or specific recovery needs that would not be met if the particular program or project cannot be completed or undertaken.</i></p>	<p>Not receiving the extension would not permit the project to be completed, thus wasting the resources and efforts already expended into the project for the permitting, engineering and design work that has already begun in preparation for the construction. Not extending this project would put \$158,769, or 1.1% of the initial release of funds at risk of recapture.</p>

6. INTERNAL CONTROLS

a. Monitoring process and internal controls to compensate for the extended deadline
Describe the monitoring process and internal controls that the grantee and any subrecipients will implement to ensure compliance with the revised expenditure deadline.

Agency will have already conducted onsite technical assistance meeting to fully understand the status of the project, provide the municipal officials with appropriate guidance to ensure fully compliant with regards to procurement, Section 3, bidding requirements, Labor Standards, the environmental review conditions. Disaster Recovery Grants Management staff will continue with ongoing technical assistance through the review of the expenditure documentation when provided and conducting onsite monitoring visits.

5b. Proposed timeline for revised expenditure deadline

B-13-DS-50-0001 Bean's Bridge Road Rehab

Grantees should start with the quarter that reflects the current DRGR start date of activity. Accordingly, alter the template column headers to reflect activity-specific information in DRGR (showing the proposed timeline). For example, if the HUD obligation date/activity start date took place in Quarter 4 of 2015, the first column would be labeled as Q4 2015.

Projected Incremental Quarterly Expenditures		Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	Q2 2016	Q3 2016	Q4 2016
CBBG-DR Program or Project	Budget Amount												
Activity #1	\$ 158,769.00							\$ 25,000.00	\$ 25,000.00	\$ 25,000.00	\$ 50,000.00	\$ 25,000.00	\$ 8,769.00
Activity #2								\$ 25,000.00	\$ 25,000.00	\$ 25,000.00	\$ 50,000.00	\$ 25,000.00	\$ 8,769.00
TOTAL	\$ 158,769.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,000.00	\$ 25,000.00	\$ 25,000.00	\$ 50,000.00	\$ 25,000.00	\$ 8,769.00

CDBG-DR Expenditure Deadline Extension Request Template (P.L. 113-2 Grantees Only) [OMB CONTROL NUMBER: 2506-0206]

In order to request an extension of the 24-month expenditure deadline on CDBG-DR funds from HUD, grantees must complete the following template for each grantee program or project for which an extension is requested. *

OMB authorized HUD to provide CDBG-DR grantees with expenditure deadline extensions for specific activity types. Only activities within the following activity category types are eligible to request an extension of the 24-month expenditure deadline: **housing; economic revitalization; public facilities and improvements; and administration.**

*Note: A local program or project may be reflected in DRGR as more than one activity. An example would be a single family rehabilitation program that will be serve both Urgent Need and Low/Moderate income households. In these instances, both DRGR activities should be submitted using a single template.

1. GRANT INFORMATION

a. Grant Number	B-13-DS-50-0001
b. Grantee Name	State of Vermont
c. Requesting Agency	Agency of Commerce and Community Development
d. CDBG-DR Obligation Date	12/10/2013
e. Expenditure Deadline Date (24 months following Obligation Date)	10-Dec-15

2. IDENTIFY EXTENSION REQUEST

a. DRGR Project #

b. Information on grantee program or project for which a waiver is requested

Note: Please copy this information directly from DRGR for each DRGR activity related to the grantee program or project for which a waiver is requested.

Grantee Project or Program	DRGR Grantee Activity Number	DRGR Activity Title	Responsible Organization	DRGR National Objective	DRGR Activity Description	DRGR Budgeted Amount
Activity #1	Red Clover IG-2014-00005 RD-1	BHA's Red Clover Housing Project	State of Vermont Agency of Commerce & Community Development	LMI	Replacement housing for the Brattleboro Housing Authority Melrose Terrace complex that was severely damaged during Tropical Storm Irene. The property sits entirely in the 100 year floodplain, with almost half of the units in the floodway of the adjacent Whetstone Brook	\$5,540,200
TOTAL AMOUNT						\$5,540,200

3. ELIGIBILITY

Description of program or project for which an extension is being requested and the eligible activity category

Project Description is included above under DRGR Activity Description. Activity #1 - Eligible activity category is Housing, new construction - replacement housing

4. JUSTIFICATION

a. Explain the reason an extension is needed *Provide an explanation for why an extension is being requested, including all relevant and compelling statutory, regulatory, policy, or operational challenges, and how receiving an extension will promote a more effective, efficient recovery effort.*

Activity #1 - due to the sheer magnitude of the project and undertaking of the Brownfield clean-up and site contamination clearance before construction can commence on the 55 units of housing needs more than the December 2015 date will permit; the environmental review and permitting to obtain the acceptable site contamination mitigation and then the demolition and clean-up was extensive. The location of this property is a perfect re-use as it is on a major thoroughfare, local bus line, near a hospital, near local shops and drug stores, and near a school for cultural events for the tenants from Melrose Terrace that will be ultimately coming to live in this location.

CDBG-DR Expenditure Deadline Extension Request Template (P.L. 113-2 Grantees Only) [OMB CONTROL NUMBER: 2506-0206]

<p>b. Reduction in the likelihood of of waste, fraud, and abuse <i>If applicable, describe how the provision of an extension would reduce the likelihood of waste, fraud, and abuse.</i></p>	<p>The extension will enable the project to be completed, thus not wasting the resources and efforts already expended into the project and the physical work that has already begun on the site in preparation for the new construction.</p>
<p>c. Community Stakeholders <i>Identify all community stakeholders (including state or local entities, subrecipients, nonprofits, and civic organizations), their role in program or project implementation, and the impact, if any, an extension would have on these stakeholders.</i></p>	<p>The Brattleboro Housing Authority; the tenants of Melrose Place</p>
<p>5. IMPACT</p> <p>a. Revised expenditure deadline for program/project completion <i>Provide the revised DRGR activity end date for each activity subject to an extension.</i></p>	<p>12/31/2016</p>
<p>b. Proposed timeline for revised expenditure deadline (See "Projected Qtrly Expenditures" sheet) <i>Provide quarterly expenditure projections for the program/project for which the extension is requested.</i></p>	<p>Complete Sheet?: Projected Qtrly Expenditures.</p>
<p>c. Risk associated with not receiving an extension <i>Describe the risks associated with NOT receiving the requested extension, such as the estimated percentage of funds which would be at risk of recapture or specific recovery needs that would not be met if the particular program or project cannot be completed or undertaken.</i></p>	<p>Not receiving the extension would not permit the project to be completed, thus wasting the resources and efforts already expended into the project and the physical work that has already begun on the site in preparation for the new construction. Not extending this project would put \$4,840,200, or 37% of the initial release of funds at risk of recapture.</p>
<p>6. INTERNAL CONTROLS</p> <p>a. Monitoring process and internal controls to compensate for the extended deadline <i>Describe the monitoring process and internal controls that the grantee and any subrecipients will implement to ensure compliance with the revised expenditure deadline.</i></p>	<p>Agency will have already conducted onsite technical assistance/monitoring to ensure fully compliant with regards to procurement, Section 3, bidding requirements, Labor Standards, the environmental review conditions. Further, the Developer, Housing Vermont who is the major partner in the Red Clover Commons Limited Partnership has a long-standing history of developing affordable housing in the state of Vermont and assisting projects and has vast experience with the CDBG Program rules and regulations. Extending the expenditure deadline will not compromise compliance at any level.</p>

5b. Proposed timeline for revised expenditure deadline

B-13-DS-50-0001 BHA's Red Clover Housing Project

Grantees should start with the quarter that reflects the current DRGR start date of activity. Accordingly, alter the template column headers to reflect activity-specific information in DRGR (showing the proposed timeline). For example, if the HUD obligation date/activity start date took place in Quarter 4 of 2015, the first column would be labeled as Q4 2015.

Projected Incremental Quarterly Expenditures		Q1 2014	Q2 2014	Q3 2014	Q4 2014	Q1 2015	Q2 2015	Q3 2015	Q4 2015	Q1 2016	Q2 2016	Q3 2016	Q4 2016
CDRG-DR Program or Project	Budget Amount						\$ 700,000.00	\$ 200,000.00	\$ 500,000.00	\$ 1,300,000.00	\$ 1,300,000.00	\$ 1,300,000.00	\$ 240,200.00
Activity #1	\$ 5,540,200.00						\$ 700,000.00	\$ 200,000.00	\$ 500,000.00	\$ 1,300,000.00	\$ 1,300,000.00	\$ 1,300,000.00	\$ 240,200.00
Activity #2													
TOTAL	\$ 5,540,200.00	\$ -	\$ 700,000.00	\$ 200,000.00	\$ 500,000.00	\$ 1,300,000.00	\$ 1,300,000.00	\$ 1,300,000.00	\$ 240,200.00				

CDBG-DR Expenditure Deadline Extension Request Template (P.L. 113-2 Grantees Only) [OMB CONTROL NUMBER: 2506-0206]

In order to request an extension of the 24-month expenditure deadline on CDBG-DR funds from HUD, grantees must complete the following template for each grantee program or project for which an extension is requested. *OMB authorized HUD to provide CDBG-DR grantees with expenditure deadline extensions for specific activity types. Only activities within the following activity category types are eligible to request an extension of the 24-month expenditure deadline: housing, economic revitalization; public facilities and improvements; and administration.
 *Note: A local program or project may be reflected in DRGR as more than one activity. An example would be a single family rehabilitation program that will be serve both Urgent Need and Low/Moderate income households. In these instances, both DRGR activities should be submitted using a single template.

1. GRANT INFORMATION

a. Grant Number	B-13-05-50-0001
b. Grantee Name	State of Vermont
c. Requesting Agency	Agency of Commerce and Community Development
d. CDBG-DR Obligation Date	10-Dec-13
e. Expenditure Deadline Date (24 months following Obligation Date)	10-Dec-15

2. IDENTIFY EXTENSION REQUEST

a. DRGR Project # _____
 b. Information on grantee program or project for which a waiver is requested _____
 Note: Please copy this information directly from DRGR for each DRGR activity related to the grantee program or project for which a waiver is requested.

Grantee Project or Program	DRGR Grantee Activity Number	DRGR Activity Title	Responsible Organization	DRGR National Objective	DRGR Activity Description	DRGR Budgeted Amount	Amendment
Activity #1	Buyouts	Residential Buyouts	Two Rivers	LMI	Buyout of homes, 25% match to FEMA Hazard Mitigation Grant Program	\$600,000	\$741,580.00
Activity #2	Wash/Winds/Windh LMI RD-1-G-00036	Commercial buyouts	Commission	Urgent Need	Buyout of commercial properties	\$500,000	\$575,790.00
Activity #3	Res buyouts	Residential Buyouts	Two Rivers	Urgent Need	Buyout of homes, 25% match to FEMA Hazard Mitigation Grant Program	\$300,000	\$362,630.00
Activity #4	Wash/Winds/Windh UR RD-1-G-00036	Residential buyouts non-targeted LMI	Two Rivers	LMI	Buyout of homes, 25% match to FEMA Hazard Mitigation Grant Program	\$150,000	\$160,000.00
Activity #5	Residential buyouts non-targeted UR RD-1-G-00036	Residential buyouts non-targeted UR	Two Rivers	Urgent Need	Buyout of homes, 25% match to FEMA Hazard Mitigation Grant Program	\$450,000	\$460,000.00
TOTAL AMOUNT						\$2,000,000	\$2,300,000.00

3. ELIGIBILITY
 Description of program or project for which an extension is being requested and the eligible activity category
 CDBG-DR funding is used to assist communities and property owners meet the FEMA required 25% match and support FEMA-denied property "buy-outs" at 75% of the pre-flood assessed property value statewide. In addition, a small portion of these funds support Brownfield clean-up on "buy-out" properties and land restoration once the demo and clearance of the parcels has been completed to provide community green space and/or limited recreational facilities in accordance with the deed restrictions to maintain in perpetuity any "buyout" property for a use that is compatible with open space, recreational, or wetlands management practices. The eligible activity category is housing.

4. JUSTIFICATION

a. Explain the reason an extension is needed. Provide an explanation for why an extension is being requested, including all relevant and compelling statutory, regulatory, policy, or operational challenges, and how receiving an extension will promote a more effective, efficient recovery effort.
 Currently (as of Aug 13, 2015) TRORC has issued CDBG-DR subgrants to towns for 10 flood-damaged property buyouts under this grant. All of these properties are FEMA-approved Hazard Mitigation Grant Program (HMG) buyouts with a 25% CDBG-DR match. Two properties have been purchased and we anticipate a total of approximately 20 - 25 properties will be purchased and demolished with this grant (25% CDBG-DR match). Most of the remaining 10 - 15 properties that have not yet had CDBG-DR subgrants issued are awaiting FEMA approval of their HMG buyout applications. In most cases these applications were not started until recently since many of the homeowners were still weighing the benefits of a buyout vs. rebuilding or elevating their property. Once properties are approved by FEMA under the HMG there can still be considerable delays in completing the project. For example, if the property is purchased in the late fall then demolition and final site work will likely not occur until the spring due to the ground being frozen. Also if any site work needs to be done within streams there are restrictions on the months that this work can take place, we can only access the streams between June 15 and October 15. Some sites have had ground contamination. In these cases the site must be tested and cleaned up before the buyout can proceed. This process can take a very long time (in many cases over a year) to complete. There are many other reasons for the long delays that often occur with buyout properties including: issues with lienholders, bankruptcy, title problems, municipalities that do not have approved hazard mitigation plans as required by FEMA, coordination with river engineers and environmental consultants, town employee turnover, homeowner paperwork, disputes with property values and taxes, and general confusion about the buyout process.

DBG-DR Expenditure Deadline Extension Request Template (P.L. 113-2 Grantees Only) [OMB CONTROL NUMBER: 2506-0206]

<p>b. Reduction in the likelihood of waste, fraud, and abuse If applicable, describe how the provision of an extension would reduce the likelihood of waste, fraud, and abuse.</p>	<p>This will allow for more appropriate time to ensure full compliance, and not rush through paperwork to meet deadlines and miss important regulations. With an extension of the DR2 funds to the same date as the DRI funds, there are efficiencies to be gained through administration as many of the buyouts are being completed in the same municipalities that were flood-prone, or in the flood zones. At the same time of asking for the extension for the buyouts, we are also asking for the release of the balance of the DR2 funds and within the Action Plan making an Amendment to place the balance of the buyout funds in the Competitive Infrastructure allocation. This reduces the buyouts under DR2 to a total of \$2,300,000 and increases the Competitive Infrastructure to \$4,945,200. The remaining unmet need of infrastructure projects at this juncture outweighs the remaining unmet need in buyouts.</p>
<p>c. Community Stakeholders Identify all community stakeholders (including state or local entities, subrecipients, nonprofits, and civic organizations), their role in program or project implementation, and the impact, if any, an extension would have on these stakeholders.</p>	<p>There are multiple communities throughout the State in which properties are located that are participating in the Hazard Mitigation Grant Program (HMGP) for buyouts to demolish and clear the properties to permit floodwaters to flow freely in the event of any future flooding without bringing harm to life or property. These communities do not have the financial wherewithall to support the homeowners with the required 25% match of the HMGP, and have looking to the DBG-Disaster Recovery Program to assist in this endeavor.</p>
<p>5. IMPACT</p>	
<p>a. Revised expenditure deadline for program/project completion Provide the revised DRGR activity end date for each activity subject to an extension.</p>	<p>For Activities #1-5, requesting an extension to 6/30/2017 to ensure we are able to complete the flood-damaged buyout property buyouts and remove as many damaged homes as possible from future flood danger. In addition, there are efficiencies to be gained with the same completion date for both DRI and DR2 buyout activities being conducted by the same entity, Two Rivers Ottawaquchee Regional Commission.</p>
<p>b. Proposed timeline for revised expenditure deadline (See "Projected Quarterly Expenditures" sheet) Provide quarterly expenditure projections for the program/project for which the extension is requested.</p>	<p>Complete Sheet12: Projected Qtrly Expenditures.</p>
<p>c. Risk associated with not receiving an extension Describe the risks associated with NOT receiving the requested extension, such as the estimated percentage of funds which would be at risk of recapture or specific recovery needs that would not be met if the particular program or project cannot be completed or undertaken.</p>	<p>For Activities #1-5, if we do not receive the extension request we will be unable to meet the commitment made to homeowners already in the FEMA Hazard Mitigation Grant Program needing the 25% match, we will be unable to complete the flood-damaged property buyouts ensuring the removal of homeowners in severely flood-prone areas. Not extending this project, would put \$1.8M, or 14% of the initial release of funds at risk of recapture.</p>
<p>6. INTERNAL CONTROLS</p>	
<p>a. Monitoring process and internal controls to compensate for the extended deadline Describe the monitoring process and internal controls that the grantee and any subrecipients will implement to ensure compliance with the revised expenditure deadline.</p>	<p>The State Disaster Recovery Grant Management staff works closely with the Two Rivers Ottawaquchee Regional Commission (TRORC) staff on an almost weekly basis reviewing, processing and approving requests in full detail for the property closings, and the demolition and clearance of the properties once the property has been deemed over to the municipality. Joint efforts between the State and the TRORC staff will conduct onsite monitoring to ensure the work is completed within the extended timelines. In addition, there will continued formal Quarterly reporting required of TRORC.</p>

